1	Anti-phosphatidylserine IgM and IgG antibodies are higher in vivax than falciparum malaria, and
2	associated with early anemia in both species
3	
4	Bridget E Barber, ^{1,2,3*} Matthew J Grigg, ^{1,2} Kim Piera, ¹ Fiona H Amante, ³ Timothy William, ^{2,4} Michelle J
5	Boyle, ^{1,3,5} Gabriela Minigo, ¹ Arjen M Dondorp, ^{6,7} James S McCarthy, ³ Nicholas M Anstey ^{1,2}
6	
7	1. Global and Tropical Health Division, Menzies School of Health Research and Charles Darwin
8	University, Darwin, Northern Territory 0811, Australia
9	2. Infectious Diseases Society Sabah Menzies School of Health Research Clinical Research Unit, Queen
10	Elizabeth Hospital, Kota Kinabalu 88560, Sabah, Malaysia
11	3. QIMR Berghofer Medical Research Institute, Brisbane 4006, Queensland, Australia
12	4. Gleneagles Hospital, Kota Kinabalu 88100, Sabah, Malaysia
13	5. Centre for Biomedical Research, Burnet Institute, Melbourne 3004, Victoria, Australia
14	6. Mahidol Oxford Tropical Medicine Research Unit, Faculty of Tropical Medicine, Mahidol University,
15	Bangkok 10400, Thailand
16	7. Centre for Tropical Medicine and Global Health, Nuffield Department of Medicine, University of
17	Oxford, Oxford OX3 7FZ, United Kingdom.
18	
19	Short title: Phosphatidylserine antibodies in malaria
20	
21	Word count of abstract: 198
22	Word count of manuscript: 3386
23	
	1

- 24 * Corresponding Author:
- 25 Dr Bridget Barber
- 26 Global Health Division, Menzies School of Health Research
- 27 PO Box 41096, Casuarina 0811, Northern Territory, Australia
- 28 <u>bridget.barber@menzies.edu.au</u>
- 29 +61 424737153
- 30
- 31
- 32 Summary
- 33 Anti-phosphatidylserine antibodies (PS-Abs) are elevated in Malaysian patients with vivax and
- 34 falciparum malaria, and are highest in vivax malaria. In vivax and falciparum malaria PS-Abs correlate
- 35 inversely with admission and nadir haemoglobin, suggesting PS-Abs contribute to anaemia from these
- 36 species.

38 Abstract

39

40 Background: Anemia is a major complication of vivax malaria. Anti-phosphatidylserine (PS) antibodies 41 generated during falciparum malaria mediate phagocytosis of uninfected red blood cells (RBCs) that 42 expose PS, and have been linked to late malarial-anemia. However, their role in anemia from non-43 falciparum *Plasmodium* species is not known, nor their role in early anemia from falciparum malaria. 44 Methods: We measured PS-IgG and IgM antibodies in Malaysian patients with vivax, falciparum, 45 knowlesi and malariae malaria, and in healthy controls, and correlated with hemoglobin. PS antibodies 46 were also measured in volunteers experimentally infected with P. vivax and P. falciparum. 47 Results: PS-IgM and IgG were elevated in patients with vivax, falciparum, knowlesi and malariae 48 malaria (p<0.0001 for all comparisons with controls), and were highest in vivax malaria. In vivax and 49 falciparum malaria, PS-IgM and IgG on admission correlated inversely with admission and nadir 50 hemoglobin, controlling for parasitemia and fever duration. PS-IgM and IgG were also increased in volunteers infected with blood-stage P. vivax and P. falciparum, and were higher in P. vivax infection. 51 52 Conclusions: PS antibodies are higher in vivax than falciparum malaria, correlate inversely with 53 hemoglobin and may contribute to the early loss of uninfected-RBC found in malarial anemia from 54 both species.

55

56 Key Words: Phosphatidylserine antibodies, anemia, malaria, Plasmodium vivax, Plasmodium
57 falciparum, Plasmodium knowlesi, Plasmodium malariae .

58

59 Background

60 Anemia is a major complication of malaria from all *Plasmodium* species, causing significant morbidity 61 and mortality not only in *P. falciparum* malaria, but also in *P. vivax* malaria [1-3]. Although occurring 62 in part due to rupture of infected red blood cells (RBCs), as well as reduced erythropoiesis, the major 63 contributor to malarial anemia is the loss of uninfected RBCs [4], particularly in vivax malaria [5]. 64 Mechanisms leading to the loss of uninfected RBCs are incompletely understood, but likely include 65 oxidative damage, as well as complement-mediated lysis [6]. In addition, recent evidence has emerged 66 regarding the role of auto-antibodies directed against RBC components, including phosphatidylserine 67 (PS) [7, 8].

68 Phosphatidylserine is a membrane phospholipid that is normally located on the internal leaflet of the 69 RBC lipid bilayer, however may become exposed as a result of a number of stimuli such as oxidative 70 stress [9]. In Plasmodium falciparum, PS becomes exposed on infected RBCs during parasite 71 maturation [10-12], enhancing phagocytosis of these cells. In addition, in P. falciparum in vitro cultures 72 [13], and in mice infected with P. yoelii [7, 14], PS is also externalised on uninfected RBCs. The 73 mechanisms of PS exposure on uninfected RBCs remain unclear, but may relate to oxidative damage 74 from parasite degradation with release of hemozoin or reactive oxygen species, or release and 75 circulation of toxic heme [15, 16].

76 With exposure of PS on uninfected as well as infected RBCs, it has been hypothesised that 77 autoantibodies directed against PS may contribute to malarial anemia [7]. In keeping with this, in a 78 recent study involving the P. yoelli mouse model of malaria, infection was shown to lead to the 79 generation of anti-PS IgM and IgG antibodies, with subsequent enhanced phagocytosis by 80 macrophages of PS-expressing uninfected RBCs [7]. Furthermore, blocking of PS antibodies in infected 81 mice led to faster recovery from anemia. PS IgM antibodies have been shown to be induced by P. 82 falciparum in vitro [8], and in non-immune humans with primary falciparum malaria total PS 83 antibodies correlated with late post-malarial anemia [7]. However, the role of PS IgG and IgM

antibodies in the anemia from non-falciparum *Plasmodium* species, particularly *P. vivax*, has not been
defined, nor their role in early anemia in falciparum malaria.

In this study, we evaluated PS IgM and IgG in Malaysian patients with vivax, falciparum, knowlesi and malariae malaria, and in healthy controls, and evaluated associations with hemoglobin, intravascular hemolysis (as a cause of oxidative stress in severe malaria), and RBC deformability. To define antibody kinetics and magnitude in primary infection with *P. vivax* and *P. falciparum*, we also measured PS antibodies longitudinally in malaria-naive human volunteers experimentally infected with these species.

92

93 Methods

94 Ethics statement

95 The studies conducted in Malaysia were approved by the Ethics Committees of the Malaysian Ministry 96 of Health, and Menzies School of Health Research, Darwin, Australia. The malaria volunteer infection 97 studies were approved by the QIMR Berghofer Ethics Committee. Informed written consent was 98 provided by all participating adults, and by the parent or guardian of any participant aged <18 years.</p>

99

100 Malaysian study sites, patients and study procedures

Patients hospitalised with malaria were enrolled as part of concurrent observational studies [17, 18] and/or clinical trials [19-21] conducted at 4 study sites in Sabah, Malaysia, including a tertiary referral hospital (Queen Elizabeth Hospital; QEH) and three district hospitals (Kudat, Kota Marudu and Pitas District Hospitals) during 2010 – 2016. At the tertiary referral hospital site, patients were enrolled if they were within 18 hours of commencing antimalarial treatment, aged >12 years, non-pregnant, and had no major co-morbidities or concurrent illnesses. Inclusion criteria were the same at the district 107 hospitals, except that all patients were enrolled prior to commencing antimalarial treatment, and all 108 ages were included. For the current study, patients with PCR-confirmed P. vivax, P. falciparum and P. 109 knowlesi were included from the tertiary-hospital cohort, while patients with PCR-confirmed P. vivax, 110 P. falciparum and P. malariae were included from the district-hospital cohort. Patients enrolled in the 111 observational studies [17, 18] received treatment according to hospital guidelines at the time of the 112 studies, including artemisinin-combination therapy (ACT) for uncomplicated falciparum, knowlesi, or malariae malaria, and ACT or chloroquine plus primaquine for uncomplicated vivax malaria. Patients 113 114 with knowlesi, vivax or malariae malaria and enrolled in clinical trials received either ACT or 115 chloroquine, according to the study protocols [19-21]. Patients with severe malaria received 116 intravenous artesunate.

Healthy controls were visitors or relatives of malaria patients admitted to QEH, with no history of feverin the past 48 hours and with a blood film negative for malaria parasites.

119 Venous blood was collected in lithium heparin and centrifuged within 30 minutes, with plasma stored 120 at -70° C. Whole blood was also collected in EDTA for measurement of RBC deformability (below). At 121 QEH, blood was collected for PS antibodies on enrolment and at days 14 and/or 28 in a subset of 122 patients able to return for follow-up. At district hospitals, blood was collected for PS antibodies on 123 enrolment, and on days 7 and 28 in patients with *P. vivax* and *P. malariae*. Follow-up PS antibody 124 measurements were excluded from analysis if a patient had received a blood transfusion following 125 during admission. At all sites haemoglobin was measured daily during admission, and at follow-up 126 visits. In a subset of patients plasma cell-free hemoglobin was measured by ELISA according to the 127 manufacturer's instructions (Bethyl Laboratories). Anti-PS IgG and IgM antibodies were detected by 128 ELISA (Orgentec).

RBC deformability was measured on enrolment in a subset of patients by Laser Assisted Optical Rotational Cell Analyser (LORCA Mechatronics, Netherlands), as previously described [22]. RBC deformability was assessed at shear stresses of 1.7 Pa and 30 Pa. Shear stresses of 1.7 Pa are encountered in the capillaries [23]. Shear stresses of 30 Pa provide information on cell geometry, in
particular surface area to volume ratios [24], and approximate values encountered by RBCs passing
through intercellular gaps in the splenic sinusoids [25].

135

136 Malaria volunteer infection studies

137 Malaria volunteer infection studies were conducted at QIMR Berghofer, Australia, as previously 138 described [26, 27]. In brief, healthy malaria-naïve volunteers were inoculated with ~2800 viable P. 139 falciparum 3D7-infected RBCs, or ~1,680 P. vivax-infected RBCs. Peripheral blood parasitemia was 140 measured at least daily by qPCR, and participants were treated with antimalarial drugs on day 8 (P. 141 falciparum) or day 10 (P. vivax), when parasitemia had exceeded 5000 parasites/ml. Participants with 142 P. falciparum and with samples available were enrolled in studies NCT02661373 (n=13), NCT02783833 143 (n=4), and NCT02573857 (n=6). Participants with *P. vivax* were enrolled in study NCT02573857 (n=8). 144 PS IgG and IgM antibodies were measured from blood samples collected prior to infection, prior to 145 antimalarial treatment, and at day 18 (P. vivax), or days 14 – 15 and day 20 (P. falciparum). Blood was 146 collected in lithium heparin, with plasma frozen at -70° C.

147

148 Statistics

149 Statistical analysis was performed with STATA software (version 14). For continuous variables 150 intergroup differences were compared using analysis of variance or Kruskal-Wallis tests depending on 151 distribution. Student's T-test or Mann-Whitney tests were used for two-group comparisons. 152 Categorical variables were compared using χ^2 test. Associations between continuous variables were 153 assessed using Spearman's or Pearson's correlation coefficients, depending on distribution. Partial 154 correlation was used to evaluate associations between variables after adjusting for parasitemia, fever duration and age, with non-normally distributed variables log-transformed to normality. Wilcoxon

sign-rank test was used to compare baseline and follow-up measurements.

157

158 Results

159 Malaysian malaria patients

160 A total of 508 malaria patients and 50 controls were included. Malaria patients included 269 patients 161 with P. falciparum, 176 with P. vivax, 42 with P. knowlesi and 21 with P. malariae malaria. Baseline 162 demographic and clinical characteristics are shown in Table 1. Overall, 375 (74%) patients were male, 163 and median age was 26 years (IQR 17 – 40 years). A total of 102 (20%) patients reported a previous 164 episode of malaria. Anaemia by World Heath Organisation (WHO) criteria [28] was common, occuring 165 on admission in 221 (44%) patients overall, and in >90% of patients with malaria from all species during 166 follow-up (Table 1). Moderate anaemia (haemoglobin <10 g/dL) occurred in 65 (13%) patients overall 167 on admission, and in 139 (27%) patients during follow-up (Table 1).

168

169 Phosphatidylserine IgM and IgG antibodies in Malaysian malaria patients

170 Phosphatidylserine IgM antibodies were increased on enrolment in patients with vivax, falciparum, 171 knowlesi, and malariae malaria (p<0.0001 for all comparisons with controls; Table 1, Figure 1). PS IgM 172 antibodies were highest in patients with vivax malaria (p<0.0001 for P. vivax vs. P. falciparum, and for 173 P. vivax vs. P. knowlesi), and higher in falciparum compared to knowlesi malaria (p=0.014). PS IgG 174 antibodies were also higher on enrolment in vivax, falciparum, knowlesi and malariae malaria (p<0.0001 for all comparisons with controls; Figure 1), and were higher in vivax compared to 175 176 falciparum (p<0.0001) and knowlesi (p=0.0007) malaria. For both IgM and IgG, the difference between 177 P. vivax and P. falciparum remained significant after controlling for parasitemia, fever duration and age (p<0.0001 for both comparisons). No significant differences were seen overall in PS IgM or IgG
antibodies between patients who did, or did not, report having had a previous episode of malaria.

180

181 Clinical correlates of phosphatidylserine IgG and IgM antibodies in Malaysian malaria patients

182 In both vivax and falciparum malaria patients, PS IgM and IgG each correlated inversely with 183 hemoglobin on enrolment, and with hemoglobin nadir (p=0.001 for correlation between PS-IgM and 184 haemoglobin on enrolment in vivax malaria; p<0.0001 for all other correlations; Table 2, 185 Supplementary Figure 1). In vivax and falciparum malaria, there was a correlation between PS IgM 186 and fever duration (r=0.22, p=0.004 and r=0.30, p<0.0001, respectively). In patients with vivax malaria, 187 there was also an inverse association with PS IgM and IgG antibodies and age (r=-0.21, p=0.005 and 188 r=-0.23, p=0.002, respectively). No correlation was observed with parasitemia in either species. In 189 both falciparum and vivax malaria, the correlations between PS IgM and IgG antibodies and enrolment 190 and nadir haemoglobin remained significant after controlling for fever duration, age, and parasitemia 191 (Supplementary Table 1).

In falciparum malaria, there was a correlation between PS IgM and PS IgG and intravascular hemolysis,
as measured by cell-free hemoglobin (PS IgM: r=0.19, p=0.014; PS IgG: r=0.27, p=0.027), and an inverse
correlation between PS IgM and red blood cell (RBC) deformability (r=-0.28, p=0.0008, RBC Elongation
Index at 1.7 Pascals; **Table 2**). Both associations with PS IgM remained significant after controlling for
parasitemia.

In *P. knowlesi* and *P. malariae* malaria, there was no correlation between either PS IgM or IgG and
admission or nadir hemoglobin. There was also no correlation between PS IgM or IgG and parasitemia
in either species.

201 Longitudinal PS IgM and IgG antibody titres in Malaysian malaria patients

At the district hospital sites, in patients with vivax malaria PS IgM and IgG levels were higher at day 7 compared to enrolment (**Table 1, Supplementary Figure 2**), and for PS IgM this increase correlated significantly with the fractional fall in haemoglobin between day 0 and day 7 (r=0.31, p=0.006 for PS IgM, and r=0.22, p=0.059 for IgG. In patients with *P. malariae* there was no significant increase in PS IgM or IgG at day 7. In district malaria patients with *P. vivax* and *P. malariae* PS IgM and IgG had fallen by day 28, but levels remained above those of healthy controls (**Table 1, Supplementary Figure 2**).

For the subset of tertiary-referral patients who had PS antibodies measured at day 14 following enrolment (*P. knowlesi*=33, *P. falciparum*=32, *P. vivax*=15), PS IgM and IgG were higher at day 14 compared to day 0, although this was only statistically significant for patients with *P. knowlesi* (Table 1, Supplementary Figure 3). No correlation was observed between day 14 PS IgM or PS IgG and day 14 hemoglobin. As with the district hospital patients, by day 28 PS IgG and IgM had returned to day 0 levels, but remained above the levels found in community controls (p<0.0001 for all comparisons).

214

215 Phosphatidylserine IgG and IgM antibodies in volunteers infected with *P. falciparum* and *P. vivax*

216 In participants infected with *P. falciparum* (n=23), there was a significant increase in PS IgM and IgG 217 antibodies by day 20 (Table 3, Figure 2). For both PS IgM and IgG, the magnitude of this increase 218 correlated with peak parasitemia (r=0.80, p<0.0001 for PS IgM, and r=0.59, p=0.003 for IgG). There 219 was an inverse correlation between PS IgM at day 20 and hemoglobin measured at day 20 (or first 220 available day 20 – 28; r=-0.41, p=0.054); this relationship was significant after controlling for peak 221 parasitemia (r=-0.43, p=0.045). There was also a correlation between the increase in both PS IgM and IgG between day 0 and day 20 and the fractional fall in haemoglobin (r=0.49, p=0.018 for PS IgM, and 222 223 r=0.43, p=0.042 for PS IgG); however, this was not significant after controlling for peak parasitemia.

In participants infected with *P. vivax* (n=8), PS IgM and IgG antibodies increased by day 18. Titres of
both PS IgM and IgG were higher in participants infected with *P. vivax* than in participants with *P. falciparum* (Table 3, Figure 3). In participants with *P. vivax* there was no correlation between PS IgM
or IgG and hemoglobin parameters, or parasitemia, although numbers were small.

228

229 Discussion

230 Anti-PS IgM and IgG antibodies were increased on presentation in Malaysian patients with each of the 231 major *Plasmodium* species infecting humans, with both being higher in vivax malaria than in 232 falciparum malaria. Furthermore, in both vivax and falciparum malaria, IgM and IgG PS antibody titres 233 were inversely correlated with admission and nadir hemoglobin, with these correlations being 234 independent of parasitemia, fever duration and age. Findings suggest that anti-PS antibodies 235 contribute to malarial anemia from P. vivax as well as P. falciparum, even relatively early in the disease 236 process. These findings are supported by data from the malaria volunteer infection studies, where 237 antibody titres increased to a greater extent following inoculation with P. vivax than P. falciparum, 238 and in the larger cohort with falciparum malaria, correlated inversely with hemoglobin independent 239 of parasitemia.

240 Our findings are consistent with an early study demonstrating increased antiphospholipid antibodies in patients with falciparum and vivax malaria [29], and another demonstrating a correlation between 241 242 total PS antibodies and late anemia in non-immune patients with primary P. falciparum malaria [7]. 243 PS antibodies are thought to contribute to malarial anemia by binding to infected and uninfected RBCs 244 exposing PS, and enhancing the phagocytosis of uninfected RBCs [7]. In mice with P. yoelli, PS 245 antibodies have been shown to be produced by atypical CD11c+ T-bet+ B cells, with expansion of these 246 cells correlating directly with parasitemia and inversely with RBC density, suggesting a role in anemia 247 [8]. The expansion of T-bet+ B cells was shown to occur through TLR9 and IFNg signalling, with PS

248 antibodies increasing shortly after the expansion of these cells. Similarly, exposure of peripheral blood 249 mononuclear cells from healthy naïve donors to P. falciparum in vitro induced expansion of T-bet+ B 250 cells and production of PS antibodies [8]. Taken together, these data suggest that production of PS 251 antibodies by T-bet+ B cells is stimulated directly by parasite DNA, rather than as a result of PS 252 exposure. This may explain the finding in our study that PS IgG and IgM were already elevated on 253 presentation in Malaysian patients with malaria, and correlated with early anemia. This early increase 254 in antibody titres was also seen in the malaria volunteer infection studies, where PS antibodies 255 increased by day 18 (*P. vivax*) or day 20 (*P. falciparum*), despite the low number of parasites inoculated 256 (well below the number of merozoites released during schizont rupture following mosquito infection), 257 and with peak parasitemias well below those seen in the clinical studies.

258 In this study we found that PS IgM and IgG were significantly higher in vivax compared to falciparum 259 malaria. P. vivax has a lower pyrogenic threshold than P. falciparum and is associated with a greater 260 inflammatory response than that seen in *P. falciparum* infections with a similar or greater peripheral 261 parasitemia [30-32]. It has been postulated that this may relate to the greater GC content of the P. 262 vivax genome, with greater stimulation of TLR9 by CpG motifs within *P. vivax* hemozoin [33]. As TLR9 263 has also been shown to mediate the expansion of T-bet +B cells that produce PS antibodies [8], this 264 greater GC content of P. vivax may also account for the higher titres of PS antibodies observed in this 265 study. As PS antibodies are thought to mediate phagocytosis of uninfected RBCs, the higher antibody 266 titres in vivax compared to falciparum malaria may explain, in part, the finding that anemia is a 267 common complication of vivax malaria despite relatively low parasitemias, with the relative loss of 268 uninfected RBCs to circulating iRBCs greater in vivax compared to falciparum malaria [4, 34].

In this study we did not find a correlation between PS IgM and IgG antibody titres and haemoglobin in patients with *P. malariae*, despite that fact that PS antibody titres in *P. malariae* were comparable to those of *P. vivax*, and that anaemia was at least as prevalent in patients with *P. malariae* as with the other species. Although this may have been because of small numbers of patients with *P. malariae*, it 273 may also be that other factors may play a relatively greater role in anaemia from *P. malariae*. Given 274 the morbidity associated with anaemia from *P. malariae* [35], further studies are required to 275 investigate mechanisms of anaemia from this species.

276 A notable finding of our study was the higher titres of PS IgM compared to IgG in both clinical studies 277 and volunteer infection studies. IgM antibodies have recently been shown to be rapidly induced in 278 falciparum malaria, in both volunteer infection studies and in children and adults with clinical malaria 279 in endemic areas [36]. In volunteer infection studies, antigen-specific IgM responses were shown to 280 be more prevalent than IgG responses. Furthermore, IgM blocked merozoite invasion of RBCs, and 281 was associated with a significantly reduced risk of clinical malaria in a longitudinal cohort of Papuan 282 children [36]. These results suggest that parasite specific IgM is an important functional antibody 283 response targeting blood-stage malaria parasites. However, the results of the current study suggest 284 that in addition to this contribution to malaria immunity, IgM antibodies may also be associated with 285 pathogenic mechanisms of malarial anemia.

Our study found a correlation between PS antibodies and CFHb in patients with *P. falciparum* malaria. CFHb is released during intravascular hemolysis, and is readily oxidised to heme which induces lipid peroxidation in RBCs [37, 38]. Oxidative stress is a major stimulant of externalisation of PS in RBCs [39], and the correlation in our study between CFHb and PS antibodies suggests that in addition to direct stimulation of T-bet+ B cells by *Plasmodium* DNA, hemolysis-induced exposure of PS on uninfected RBCs may also be a driver of PS antibody production.

We also demonstrated an inverse correlation between PS IgM and RBC deformability in falciparum malaria. This is consistent with a previous study demonstrating that coating of RBCs with purified anti-RBC IgG antibodies from patients with *P. vivax*-associated anemia increased the rigidity of RBC membranes [40]. Reduced RBC deformability has been shown to be associated with anemia in both falciparum [41] and knowlesi [42] malaria, with enhanced phagocytosis and increased splenic clearance of the more rigid RBCs both potentially contributing. It is thus possible that PS antibodies

298 mediate anemia not only directly through phagocytosis of PS-exposing cells [7], but also through 299 increasing the rigidity of infected and uninfected RBCs.

300 Our study has several limitations. It is possible that the early and marked increase in PS antibodies 301 observed in Malaysian patients represents an epiphenomenon. However, the inverse relationships 302 between PS antibodies and hemoglobin were independent of possible confounders such as 303 parasitemia and duration of illness. Furthermore, the relationships between PS antibodies and 304 hemoglobin were strongest for the two species (P. vivax and P. falciparum) with the highest antibody 305 titres, and absent in *P. knowlesi*, where antibody responses were significantly lower. Moreover, PS 306 IgM was also inversely associated with hemoglobin, independent of parasitemia, in the volunteer 307 infection studies.

In conclusion, PS IgM and IgG antibodies are increased in falciparum, vivax, knowlesi and malariae malaria. PS antibody responses were higher in *P. vivax* than *P. falciparum* infection, in both clinical disease and experimental human challenge. Both PS IgM and IgG correlated with early anemia in malaria from both species, suggesting that PS antibodies may contribute to the early loss of uninfected-RBC found in malarial anemia in both vivax and falciparum malaria.

313

Funding

This work was supported by the National Health and Medical Research Council of Australia (Program Grants 496600 and 1037304, Project Grant 1045156 and fellowships to BEB, MJG, MJB, JSM and NMA). AMD was supported by the Wellcome Trust of Great Britain.

Conflict of Interests

All authors report no conflicts of interest.

314

315

316 Acknowledgement

We thank all the study participants in Sabah, Malaysia; the Sabah malaria research team nursing and laboratory staff; and the Malaysian Ministry of Health hospital directors and clinical staff at Queen Elizabeth Hospital, and at Kota Marudu, Kudat, and Pitas District Hospitals. We also recognise the support of Dr Goh Pik Pin and the Clinical Research Centre, Sabah, for logistical support, and the Director General of Health, Malaysia, for permission to publish this study. We thank the participants involved in the malaria volunteer infection studies, Q-Pharm staff, and Medicine for Malaria Venture for funding these studies.

References

 Douglas NM, Lampah DA, Kenangalem E, et al. Major burden of severe anemia from nonfalciparum malaria species in Southern Papua: a hospital-based surveillance study. PLoS Med **2013**; 10:e1001575.

2. Douglas NM, Pontororing GJ, Lampah DA, et al. Mortality attributable to *Plasmodium vivax* malaria: a clinical audit from Papua, Indonesia. BMC Med **2014**; 12:217.

3. Grigg MJ, William T, Barber BE, et al. Age-related clinical spectrum of *Plasmodium knowlesi* malaria and predictors of severity. Clin Infect Dis **2018**; 67:350-9.

4. Jakeman G, Saul A, Hogarth W, Collins W. Anaemia of acute malaria infections in nonimmune patients primarily results from destruction of uninfected erythrocytes. Parasitology **1999**; 119:127-33.

Douglas N, Anstey N, Buffet P, et al. The anaemia of *Plasmodium vivax* malaria. Malar J **2012**;
 11:135.

6. Oyong DA, Kenangalem E, Poespoprodjo JR, et al. Loss of complement regulatory proteins on uninfected erythrocytes in vivax and falciparum malaria anemia. J Clin Invest Insight **2018**; 3:124854

 Fernandez-Arias C, Rivera-Correa J, Gallego-Delgado J, et al. Anti-self phosphatidylserine antibodies recognize uninfected erythrocytes promoting malarial anemia. Cell Host Microbe 2016; 19:194-203.

8. Rivera-Correa J, Guthmiller J, Vijay R, et al. Plasmodium DNA-mediated TLR9 activation of Tbet+ B cells contributes to autoimmune anaemia during malaria. Nat Commun **2017**; 8:1282.

Lang E, Qadri SM, Lang F. Killing me softly–suicidal erythrocyte death. Int J Biochem Cell Biol
 2012; 44:1236-43.

10. Maguire P, Prudhomme J, Sherman I. Alterations in erythrocyte membrane phospholipid organization due to the intracellular growth of the human malaria parasite, *Plasmodium falciparum*. Parasitol **1991**; 102:179-86.

11. Sherman IW, Prudhomme J, Tait JF. Altered membrane phospholipid asymmetry in *Plasmodium falciparum*-infected erythrocytes. Parasitol Today **1997**; 13:242-3.

12. Pattanapanyasat K, Sratongno P, Chimma P, Chitjamnongchai S, Polsrila K, Chotivanich K. Febrile temperature but not proinflammatory cytokines promotes phosphatidylserine expression on *Plasmodium falciparum* malaria-infected red blood cells during parasite maturation. Cytometry Part A **2010**; 77:515-23.

13. Engelbrecht D, Coetzer TL. *Plasmodium falciparum* exhibits markers of regulated cell death at high population density in vitro. Parasitol Int **2016**; 65:715-27.

14. Totino PR, Magalhães AD, Silva LA, Banic DM, Daniel-Ribeiro CT, de Fátima Ferreira-da-Cruz
M. Apoptosis of non-parasitized red blood cells in malaria: a putative mechanism involved in the pathogenesis of anaemia. Malar J **2010**; 9:350.

15. Föller M, Huber SM, Lang F. Erythrocyte programmed cell death. IUBMB Life **2008**; 60:661-8.

16. Matthews K, Duffy SP, Myrand-Lapierre M-E, et al. Microfluidic analysis of red blood cell deformability as a means to assess hemin-induced oxidative stress resulting from *Plasmodium falciparum* intraerythrocytic parasitism. Integr Biol **2017**; 9:519-28.

17. Barber BE, Grigg MJ, Piera KA, et al. Effects of aging on parasite biomass, inflammation, endothelial activation and microvascular dysfunction in *Plasmodium knowlesi* and *P. falciparum* malaria. J Infect Dis **2017**; 215:1908–17.

18. Barber BE, William T, Grigg MJ, et al. A prospective comparative study of knowlesi, falciparum and vivax malaria in Sabah, Malaysia: high proportion with severe disease from *Plasmodium knowlesi* and *P. vivax* but no mortality with early referral and artesunate therapy. Clin Infect Dis **2013**; 56:383-97.

19. Grigg MJ, William T, Menon J, et al. Efficacy of artesunate-mefloquine against high-grade chloroquine-resistant *Plasmodium vivax* malaria in Malaysia: an open-label randomised controlled trial. Clin Infect Dis **2016**; 62:1403-11.

20. Grigg MJ, William T, Menon J, et al. Artesunate–mefloquine versus chloroquine for treatment of uncomplicated *Plasmodium knowlesi* malaria in Malaysia (ACT KNOW): an open-label, randomised controlled trial. Lancet Infect Dis **2015**; 16:180-8.

21. Grigg MJ, T W, Barber BE, et al. Artemether-lumefantrine versus chloroquine for the treatment of uncomplicated *Plasmodium knowlesi* malaria (CAN KNOW): an open-label randomized controlled trial. Clin Infect Dis **2018**; 66:229-36.

22. Hardeman M, Goedhart P, Dobbe J, Lettinga K. Laser-assisted optical rotational cell analyser (LORCA); I. A new instrument for measurement of various structural hemorheological parameters. Clin Hemorheol Microcirc **1994**; 14:605-18.

23. Chien S. Physiological and pathophysiological significance of hemorheology. In: Chien S, Dormandy J, Ernst E, Matrai A, editors. Clinical Hemorheology. Dordrecht: Springer; 1986. p. 125-64.

24. Bessis M, Mohandas N, Feo C. Automated ektacytometry: a new method of measuring red cell deformability and red cell indices. Blood Cells **1980**; 6:315-7.

25. Chen L-T, Weiss L. The role of the sinus wall in the passage of erythrocytes through the spleen. Blood **1973**; 41:529-37.

26. McCarthy JS, Griffin PM, Sekuloski S, et al. Experimentally induced blood-stage *Plasmodium vivax* infection in healthy volunteers. J Infect Dis **2013**; 208:1688-94.

27. McCarthy JS, Sekuloski S, Griffin PM, et al. A pilot randomised trial of induced blood-stage *Plasmodium falciparum* infections in healthy volunteers for testing efficacy of new antimalarial drugs. PLoS ONE **2011**; 6:e21914.

28. World Health Organization. Haemoglobin concentrations for the diagnosis of anaemia and assessment of severity 2011 [15th Jan 2019]. Available from:

http://www.who.int/vmnis/indicators/haemoglobin.pdf.

29. Facer C, Agiostratidou G. High levels of anti-phospholipid antibodies in uncomplicated and severe *Plasmodium falciparum* and in *P. vivax* malaria. Clin Exp Immunol **1994**; 95:304-9.

30. Yeo TW, Lampah DA, Tjitra E, et al. Greater endothelial activation, Weibel-Palade body release and host inflammatory response to *Plasmodium vivax*, compared with *Plasmodium falciparum*: a prospective study in Papua, Indonesia. J Infect Dis **2010**; 202:109-12.

31. Hemmer CJ, Holst FGE, Kern P, Chiwakata CB, Dietrich M, Reisinger EC. Stronger host response per parasitized erythrocyte in *Plasmodium vivax* or ovale than in *Plasmodium falciparum* malaria. Trop Med Int Health **2006**; 11:817-23.

32. Barber BE, William T, Grigg MJ, et al. Parasite biomass-related inflammation, endothelial activation, microvascular dysfunction and disease severity in vivax malaria. PLoS Pathog **2015**; 11:e1004558.

33. Anstey NM, Russell B, Yeo TW, Price RN. The pathophysiology of vivax malaria. Trends Parasitol **2009**; 25:220-7.

34. Collins WE, Jeffery GM, Roberts JM. A retrospective examination of anemia during infection of humans with *Plasmodium vivax*. Am J Trop Med Hyg **2003**; 68:410-2.

35. Langford S, Douglas NM, Lampah DA, et al. *Plasmodium malariae* infection associated with a high burden of anemia: a hospital-based surveillance study. PLoS Negl Trop Dis **2015**; 9:e0004195.

36. Boyle MJ, Chan J-A, Handayuni I, et al. IgM in human immunity to *Plasmodium falciparum* malaria. Sci Adv **2019**, In Press

37. Flynn T, Allen D, Johnson G, White J. Oxidant damage of the lipids and proteins of the erythrocyte membranes in unstable hemoglobin disease. Evidence for the role of lipid peroxidation.
J Clin Invest **1983**; 71:1215.

38. Davies K, Goldberg A. Oxygen radicals stimulate intracellular proteolysis and lipid peroxidation by independent mechanisms in erythrocytes. J Biol Chem **1987**; 262:8220-6.

39. Eda S, Sherman I. Cytoadherence of malaria-infected red blood cells involves exposure of phosphatidylserine. Cell Physiol Biochem **2002**; 12:373-84.

40. Mourão LC, da Silva Roma PM, Aboobacar JdSS, et al. Anti-erythrocyte antibodies may contribute to anaemia in *Plasmodium vivax* malaria by decreasing red blood cell deformability and increasing erythrophagocytosis. Malar J **2016**; 15:397.

41. Dondorp A, Angus B, Chotivanich K, et al. Red blood cell deformability as a predictor of anemia in severe falciparum malaria. Am J Trop Med Hyg **1999**; 60:733-7.

42. Barber BE, Russell B, Grigg MJ, et al. Reduced red blood cell deformability in *Plasmodium knowlesi* malaria. Blood Advances **2017**; 2:433-43.

	Controls (n=50)	P. falciparum (n=269)	<i>P. vivax</i> (n=176)	P. knowlesi (n=42)	P. malariae (n=21)
Age, years (mean, range)	35 (14 - 69)	28 (7 - 78)	24 (2 - 79)	43 (17 - 75)	17 (5 – 54)
Male sex, n (%)	34 (68)	202 (75)	127 (72)	30 (77)	16 (76)
Previous malaria	NA	34 (13)	51 (29)	14 (34)	51 (29)
Fever duration, days		5 (3 - 7)	5 (3 - 7)	5 (4 - 7)	6 (3 – 7)
Parasite count, parasites/uL		10, 011 (2902 – 32,472)	4000 (1775 – 9548)	4215 (2372 - 29,922)	1313 (162 – 2778)
Severe malaria, n (%)		29 (11)	12 (7)	10 (26)	0 (0)
Hb on enrolment, g/dL, mean (SD)		12.9 (2.0)	12.2 (2.1)	12.4 (2.2)	11.6 (2.2)
Hb nadir, g/dL, mean (SD)		11.3 (1.8)	10.8 (1.9)	11.2 (2.3)	10.5 (1.9)
Hb fall, g/dL		1.4 (0.8 - 2.2)	1.3 (0.7 – 2.1)	1.2 (0.2 - 2.0)	1.2 (0.9)
Anemia* on admission (%)		97 (36)	89 (51)	21 (50)	14 (67)
Anemia* during follow-up (%)		251 (93)	167 (95)	39 (93)	20 (95)
Admission Hb <10 g/dL		23 (9)	30 (17)	6 (14)	6 (29)
Nadir Hb <10 g/dL		56 (21)	61 (35)	13 (31)	9 (43)
		34,309 (15,330 – 52,7779)	32,498 (16,813 – 44,489)	20,042 (15,072 - 44,242)	
CFHb, ng/mL	15,146 (9641 – 25,256)	n=171	n=62	n=15	
RBC-D (at 1.7 Pa), Elongation	0.197 (0.178 – 0.227)	0.182 (0.163 - 0.198)	0.196 (0.160 - 0.214)	0.168 (0.147 - 0.190)	
index	n=7	n=90	n=25	n=11	
	0.587 (0.520 – 0.590)	0.518 (0.480 - 0.557)	0.543 (0.518 - 0.572)	0.492 (0.448 - 0.550)	
RBC-D (at 30 Pa), Elongation Index	n=7	n=90	n=25	n=11	
PS IgM day 0, U/ml	27 (19 - 41)	85 (55 - 134)	93 (62 - 181)	60 (41 - 108)	91 (58 – 146)
					103 (42 – 142)
PS IgM, day 7, U/ml					n=15
PS IgM, day 14, U/ml		130 (80 - 183)	143 (49 - 2686)	81 (50 - 116)	

Table 1. Baseline characteristics and phosphatidylserine IgM and IgG antibodies in controls and malaria patients

		n=32	n=15	n=33	
		83 (66 - 131)	84 (51 - 124)	62 (36 - 92)	55 (39 – 63)
PS IgM, day 28, U/ml		n=69	n=22	n=22	n=14
PS IgG, day 0, U/ml	17 (14 - 21)	49 (35 - 72)	59 (39 - 92)	44 (21 - 85)	63 (41 – 77)
					58 (20 – 85)
PS IgG, day 7, U/ml					n=15
		94 (64 - 112)	62 (37 - 251)	68 (30 - 93)	
PS IgG, day 14, U/ml		n=32	n=15	n=33	
		43 (19 - 63)	49 (22 - 120)	46 (26 - 70)	38 (20 – 47)
PS IgG, day 28, U/mI		n=69	n=22	n=22	n=14

NA = not available; Hb = haemoglobin; CFHb = cell free haemoglobin; RBC-D = red blood cell deformability; Pa = Pascals; PS = phosphatidylserine. Numbers are median (IQR) unless otherwise stated. For PS IgG and IgM antibodies at baseline: p<0.0001 for healthy controls (HCs) compared to all Plasmodium species.

* Self-reported

[#] Anemia based on World Health Organization 2011 hemoglobin measurement criteria [26]: age 6–59 months (\leq 10.0 g/dL), 5–11 years (<11.5 g/dL), 12–14 years (<12.0 g/dL), nonpregnant women \geq 15 years (<12.0 g/dL), pregnant women (<11.0 g/dL), men \geq 15 years (<13.0 g/dL).

	<i>P. falciparum</i> (n=269)			<i>P. vivax</i> (n=176)				
	PS IgM		PS lgG		PS IgM		PS IgG	
	Correlation	P value	Correlation	P value	Correlation	P value	Correlation	P value
Hb on enrolment	-0.27	<0.0001^	-0.26	<0.0001^	-0.30	0.001^	-0.31	<0.0001^
Hb nadir	-0.28	<0.0001^	-0.29	<0.0001^	-0.34	< 0.0001^	-0.35	<0.0001^
Parasite count	-0.00	0.943	0.01	0.824	0.10	0.170	0.10	0.192
Fever duration	0.30	< 0.0001	0.11	0.078	0.22	0.004	0.15	0.051
Age	0.11	0.067	-0.06	0.358	-0.21	0.005	-0.23	0.002
CFHb	0.19	0.014	0.27	0.027	0.04	0.779	-0.07	0.601
RBC-D (at 1.7 Pa)	-0.28	0.008	-0.13	0.227	0.22	0.282	-0.04	0.859
RBC-D (at 30 Pa)	-0.21	0.050	-0.18	0.085	-0.05	0.828	-0.28	0.176

Table 2. Clinical correlates of PS-IgM and IgG antibodies in malaria patients

PS = phosphatidylserine; Hb = haemoglobin; CFHb = cell free haemoglobin; RBC-D = red blood cell deformability; Pa = pascals. RBC-D was measured in 90 patients with falciparum malaria, and 25 patients with vivax malaria; CFHb was measured in 133 patients with falciparum malaria and 57 with vivax malaria.

^ Remained significant after controlling for parasitemia, fever duration and age (Supplementary Table 1)

Table 3. Anti-phosphatidylserine IgM and IgG antibodies in volunteers with experimenta	
malaria infection	

	P. falciparum (n=23)	<i>P. vivax</i> (n=8)	P value
Peak parasitemia (parasites/ml)	36,074 (8351 – 142,519)	219,136 (112,091 – 308,113)	0.008
Baseline Hb, g/dL, mean (SD)	14.8 (9.2)	14.5 (7.3)	0.456
Hb day 18 (Pv) or day 20-28 (Pf), g/dL, mean (SD)	13.5 (9.2)	13.7 (7.9)	0.786
Hb fall, g/dL	1.0 (0.6 – 1.5)	1.0 (0.7 – 1.2)	0.651
PS IgM, day 0. U/ml	21 (15 – 36)	35 (20 – 70)	0.124
PS IgG, day 0, U/ml	15 (6 – 24)	20 (10 – 25)	0.329
PS IgM, day 18 (Pv) or day 20 (Pf), U/ml	33 (27 – 52)	71 (56 – 121)	0.012
PS IgG, day 18 (Pv) or day 20 (Pf), U/ml	17 (3 – 24)	24 (20 – 42)	0.026

Hb = hemoglobin; Pf = P. *falciparum*; Pv = P. *vivax*; PS = phosphatidylserine. Numbers are median (IQR) unless otherwise stated. For volunteers with falciparum malaria, "Hb day 20 – 28" refers to hemoglobin measured on day 20, or the first available up to 28.

Figure Legends

Figure 1. Phosphatidylserine IgM (A) and IgG (B) antibodies in healthy controls and in patients hospitalised with falciparum, vivax, knowlesi, and malariae malaria. PS = phosphatidylserine; Pf = P. *falciparum*; Pv = P. *vivax*; Pk = P. *knowlesi*; Pm = P. *malariae*. PS IgM and IgG antibodies were lower in than in patients with malaria from any *Plasmodium* species (p<0.0001 for all comparisons). PS-IgM and IgG antibodies were higher in *P. vivax* compared to both *P. falciparum* and *P. knowlesi*. PS-IgM antibodies were higher in *P. falciparum* compared to *P. knowlesi* (p=0.014).

Figure 2. Phosphatidylserine (PS) IgM and IgG antibodies in participants experimentally infected with *P. falciparum* (A and B) and *P. vivax* (C and D). A total of 23 participants in 3 study cohorts were infected with *P. falciparum* and included in the analysis; PS antibodies were measured at baseline, day 7 – 8 (prior to treatment), day 14 – 15, and day 20. Eight participants in one study cohort were infected with *P. vivax*, with PS antibodies measured at baseline and at days 8, 7, 10 and 18. P values represent difference between baseline and day 20 (*P. falciparum*), or day 18 (*P. vivax*), by Wilcoxon sign-rank test. Data are presented as median and interquartile range.

Figure 3. Phosphatidylserine IgM (A) and IgG (B) antibodies at day 20 in participants experimentally infected with *P. falciparum* and at day 18 in participants experimentally infected with *P. vivax*. Data are presented as median and interquartile range.

Footnote Page.

1. Conflicts of Interest

All authors report no conflicts of interest.

2. Funding

This work was supported by the National Health and Medical Research Council of Australia (Program Grants 496600 and 1037304, Project Grant 1045156 and fellowships to BEB, MJG, MJB, JSM and NMA). AMD was supported by the Wellcome Trust of Great Britain.

 These data have been presented in part at the American Society of Tropical Medicine and Hygiene 66th Annual Meeting, Baltimore, Maryland USA, November 2017.

4. Corresponding Author:

Dr Bridget Barber

Global Health Division, Menzies School of Health Research

PO Box 41096, Casuarina 0811, Northern Territory, Australia

bridget.barber@menzies.edu.au

+61 424737153





Β.



Figure_2

Α.

Click here to access/download;Figure;Figure 2.pdf 🛓 Β.

p=0.028

20

p=0.161





















Figure_3

м.

Click here to access/download;Figure;Figure_3.pdf **≛ B**.



	P. falciparum		P. viva	X
	Partial	P value	Partial	P value
	correlation		correlation	
	coefficient		coefficient	
Model 1: PS-IgM and haemoglobin				
on admission				
Log PS-IgM (U/ml)	-0.16	0.010	-0.23	0.003
Log parasitemia	0.06	0.306	0.04	0.606
Log fever duration (days)	-0.23	0.0002	-0.22	0.005
Age (years)	0.08	0.177	0.30	0.0001
Model 2: PS-IgM and haemoglobin				
nadir				
Log PS-IgM (U/ml)	-0.22	0.0006	-0.23	0.002
Log parasitemia	-0.15	0.015	-0.13	0.083
Log fever duration (days)	-0.07	0.425	-0.25	0.001
Age (years)	0.09	0.135	0.28	0.0002
Model 3: PS-IgG and haemoglobin				
on admission				
Log PS-IgG (U/ml)	-0.22	0.0004	-0.16	0.040
Log parasitemia	0.08	0.222	0.03	0.677
Log fever duration (days)	-0.24	0.0001	-0.23	0.002
Age (years)	0.07	0.288	0.33	<0.0001
Model 4: PS-IgG and haemoglobin				
nadir				
Log PS-IgG (U/ml)	-0.24	0.0001	-0.19	0.014
Log parasitemia	-0.14	0.024	-0.13	0.080
Log fever duration (days)	-0.17	0.005	-0.26	0.0005
Age (years)	0.07	0.259	0.30	0.0001

Supplementary Table 1. Associations between PS antibodies and haemoglobin in malaria patients: multivariate analyses

PS = phosphatidylserine

10.0

8.0

10

8

Log PS IgM antibodies

Supplementary Figure 1. Correlations between phosphatidylserine IgM antibodies and enrolment haemoglobin and haemoglobin nadir in patients with P. falciparum (A and B)

and P. vivax (C and D) malaria. Hb = haemoglobin; PS = phosphatidylserine.



8.0

6.0

10

8

6

Log PS IgM antibodies

Supplementary Figure 2. Phosphatidylserine IgM and IgG antibodies at Day 0, 7 and 28, in district hospital patients with *P. malariae* (A and B), and *P. vivax* (C and D). Error bars represent mean (SD) of log-transformed values. P values are calculated by Wilcoxon sign-rank test (for longitudinal measurements), or Wilcoxon rank-sum test for day 28 measurements vs controls.



Supplementary Figure 3. Phosphatidylserine IgM and PS IgG antibodies at Day 0, 14 and 28, in tertiary-referral hospital patients with *P. falciparum* (A and B), *P. vivax* (C and D), and *P. knowlesi* (E and F). Error bars represent mean and SD of log-transformed values. P values are calculated by Wilcoxon sign-rank test (for longitudinal measurements), or Wilcoxon rank-sum test for day 28 measurements vs controls.

